



ZENITH SECURITIES LIMITED

CORPORATE STOCKBROKING

A C C O U N T

ACCOUNT OPENING REQUIREMENTS

1. Duly completed account opening form.
2. Two (2) recent clear passport-size photographs of each signatory to the account with their names and signature written on the reverse side.
3. Identification of signatories - International passport, driver's licence or National ID Card. (Original to be sighted).
4. Public Utility Receipt - Tax Clearance Certificate (TCC), NEPA Bills, Water Bills or Telephone Bills (Original to be sighted) which must bear your current address.
5. Certificate of Incorporation (Original to be sighted).
6. Memorandum and Articles of Association (certified as a true copy by the Registrar of Companies and a director of the company).
7. Form C07 - CAC certified true copy (Original to be sighted).
8. Board Resolution appointing Zenith Securities Limited as the company's fund managers and including names of all signatories to the account and directors of the company in attendance. This must be executed under company seal.
9. Mandatory Initial Deposit.

(FOR OFFICIAL USE ONLY)

S/N	DOCUMENTS OBTAINED	IN PLACE	WAIVER
1.	Identification:		
	(a) International Passport		
	(b) Drivers Licence		
	(c) National ID Card		
2.	Passport Photographs		
3.	Verification of Signature		
4.	Signature Cards		
5.	Mandate		
6.	Public Utility Receipt / Invoice		

CUSTOMER INTRODUCED BY _____
NAME & SIGNATURE

RELATIONSHIP OFFICER _____
NAME & SIGNATURE

WAIVER APPROVED BY _____
NAME & SIGNATURE

APPROVED BY	INITIAL	DATE
Legal Officer		
Account Officer		
Approval		

WHAT OTHER SERVICES WOULD YOU REQUIRE FROM ZSL

1. _____

2. _____

Signature and Date

Signature and Date

OFFICIAL USE ONLY

COMMENT: _____

ACCOUNT NUMBER: _____

CLEARING HOUSE NUMBER (CHN): _____

RECEIVED BY: _____ DATE: _____

APPROVED BY: _____ DATE: _____



ZENITH SECURITIES LIMITED (ZSL)

Stockbroking

Zenith Securities Limited is the stockbroking arm of Zenith Investment Banking Group.

Our services include:

- Stockbroker to primary issues
- Securities (equities & bond) trading
- Securities perfection
- Receiving agent on primary issues
- Market research & performance analysis and
- Advisory services to guide clients' transactions

Our target market on stockbroking is restricted to individuals and institutional investors with a minimum investment of N1,000,000.00



ZENITH SECURITIES LIMITED (ZSL)
MANDATE FOR STOCKBROKING

NAME OF ACCOUNT: _____ ACCOUNT NO: _____

POSTAL ADDRESS: _____

CONTACT ADDRESS: _____

TELEPHONE: _____

<p>1. NAME OF SIGNATORY..... CATEGORY</p> <table border="1"> <tr> <td style="width: 80%; height: 40px;"></td> <td style="width: 20%;"></td> </tr> <tr> <td colspan="2">Mobile Phone No.:</td> </tr> </table> <p>2. NAME OF SIGNATORY..... CATEGORY</p> <table border="1"> <tr> <td style="width: 80%; height: 40px;"></td> <td style="width: 20%;"></td> </tr> <tr> <td colspan="2">Mobile Phone No.:</td> </tr> </table>			Mobile Phone No.:				Mobile Phone No.:		<p>3. NAME OF SIGNATORY..... CATEGORY</p> <table border="1"> <tr> <td style="width: 80%; height: 40px;"></td> <td style="width: 20%;"></td> </tr> <tr> <td colspan="2">Mobile Phone No.:</td> </tr> </table> <p>4. NAME OF SIGNATORY..... CATEGORY</p> <table border="1"> <tr> <td style="width: 80%; height: 40px;"></td> <td style="width: 20%;"></td> </tr> <tr> <td colspan="2">Mobile Phone No.:</td> </tr> </table>			Mobile Phone No.:				Mobile Phone No.:	
Mobile Phone No.:																	
Mobile Phone No.:																	
Mobile Phone No.:																	
Mobile Phone No.:																	
<p>MANDATE</p>	<p>COMPANY STAMP/SEAL SPECIMEN (If required for mandate)</p>																

FOR OFFICIAL USE

REMARK _____

RSM OFFICER _____

COMPANY STAMP/SEAL REQUIRED? YES NO

APPROVAL _____ DATE _____



ZENITH SECURITIES LIMITED (ZSL) RC:150281

CORPORATE STOCKBROKING ACCOUNT OPENING FORM

(Passport Photograph)

(Passport Photograph)

(Passport Photograph)

(Passport Photograph)

NAME OF COMPANY: _____

REGISTRATION NUMBER: _____

COUNTRY OF INCORPORATION: _____

DATE OF INCORPORATION: _____

REGISTERED OFFICE ADDRESS: _____

EMAIL ADDRESS: _____

TELEPHONE NUMBER(S): _____

NATURE OF BUSINESS: _____

NAME(S) OF EXECUTIVE DIRECTORS: _____

INITIAL INVESTMENT: _____ (M)

MODE OF PAYMENT: _____ CHEQUE DRAFT

RECEIPT OF QUARTERLY CSCS STATEMENT: POST COURIER PERSONAL COLLECTION

RECEIPT OF CONTRACT NOTES: E-MAIL POST COURIER PERSONAL COLLECTION

Authorised Signatory

Authorised Signatory

